

## **METHODS AND APPARATUS FOR ILLUMINATING ENVIRONMENTS**

### **Cross-references to Related Applications**

The present application claims the benefit, under 35 U.S.C. §119(e), of U.S.  
5 Provisional Application Serial No. 60/415,897, filed October 3, 2002, entitled "Methods  
and Apparatus for Illuminating Environments."

This application also claims the benefit, under 35 U.S.C. §120, as a continuation-  
in-part (CIP) of U.S. Non-provisional Application Serial No. 10/325,635, filed December  
19, 2002, entitled "Controlled Lighting Methods and Apparatus."

10 Each of the foregoing applications is hereby incorporated herein by reference.

### **Background**

Recent years have seen rapid developments in the field of lighting systems. For  
example, traditional lighting sources such as incandescent, metal halide, neon and  
15 fluorescent sources have been joined by fiber optic lights and semiconductor-based light  
sources such as LEDs in wide use. LEDs, once confined to low-luminosity applications,  
have become much brighter, and a wider range of LED colors are now available than in  
the past. In addition, lighting system control has advanced, including the development of  
microprocessor- and network-based control systems. Color Kinetics, owner of U.S.  
20 Patent No. 6,016,038, incorporated herein by reference, has developed many such  
lighting control methods and systems, including systems for mapping geometric  
positions of lights, systems for addressing pluralities of lights, sensor-feedback systems  
for lighting control, systems for authoring light shows and effects, systems for providing  
color temperature control, software systems for lighting control, and many others.

25

Certain lighting applications present particular challenges and opportunities. One  
such set of applications are signage and display applications, particularly those that today  
employ neon lights and edge-lit signs.

30

The neon light industry is plagued with many problems. Failure rates are very  
high for neon lights, as can be witnessed daily on storefronts throughout the country.

Neon is difficult and expensive to transport. Neon signs typically require specialized glass blowing to support custom applications, such as lettering. As a result, neon is expensive and unreliable, and the neon sign and display industry is highly fragmented.

5           Edge lit signs have replaced neon for many applications. However, edge-lit signs, while very effective for some applications, offer little in the way of the customization by the end user of the sign or display.

          Thus, a need exists for methods and systems that offer the customization and  
10   attractive effects of neon signs and displays, but without the many problems of neon.

### **Summary**

          Provided herein are methods and systems for preparing signs and displays. The methods and systems include using a light engine for generating light of a plurality of  
15   colors to illuminate the body of a flexible conduit that receives light from the light engine. The conduit can be used as a sign, display, or similar lighting facility in many applications that traditionally used neon signs and displays.

          Methods and systems are provided herein for controlling a plurality of lights  
20   using the control system to provide illumination of more than one color, wherein one available color of light is white light and another available color is non-white light. White light can be generated by a combination of red, green and blue light sources, by a white light source, or by a combination of the same. The color temperature of white light can be modified by mixing light from a second light source. The second light  
25   source can be a light source such as a white source of a different color temperature, an amber source, a green source, a red source, a yellow source, an orange source, a blue source, and a UV source. For example, lights can be LEDs of red, green, blue and white colors. More generally, the lights can be any LEDs of any color, or combination of colors, such as LEDs selected from the group consisting of red, green, blue, UV, yellow,  
30   amber, orange and white. White LEDs can include LEDs of more than one color

temperature. In some cases a facility such as a phosphor can be used to alter light from an LED to a different spectral characteristic, color or color temperature.

The methods and systems disclosed herein include a kit for preparing a display.

5 The kit may include a light engine for generating light of a plurality of colors and a substantially flexible conduit for receiving light from the light engine. The conduit can be constructed of a material suitable to allow viewing of the light generated by the light engine substantially throughout the conduit. In embodiments the light engine includes a plurality of light emitting diodes and a controller for controlling the color of light

10 generated by the light emitting diodes.

In embodiments the kit includes a fastener for attaching the conduit in a desired configuration.

15 Methods and systems disclosed herein include a light system that includes a modular conduit for receiving illumination from a light, and a light engine, wherein the light engine includes a light source and a controller. In embodiments the light is controlled by a controller to produce light of a desired characteristic at each point in time. In embodiments the characteristic is selected from the group consisting of the

20 color, intensity, saturation, and color temperature. In embodiments the controller includes an interface. In embodiments the light source includes an LED or a plurality of LEDs of different colors. In embodiments the light source includes LEDs producing different color temperatures of white light. In embodiments the light engine operates in white color mode at some times and in a non-white color mode at other times. In

25 embodiments, the light engine produces white light by combining light from LEDs selected from the group consisting of red, green, yellow, blue, amber, white, orange and amber LEDs. In embodiments the color temperature of white light is adjusted by mixing light from an LED. In embodiments the controller is a processor.

In embodiments, the conduit receives light from the light engine. In  
embodiments, the conduit made of an elastic material suitable for receiving and  
retransmitting light. In embodiments, the conduit is a flexible conduit. In embodiments  
the conduit is transparent. In embodiments, the conduit is semi-opaque. In  
5   embodiments, the conduit is translucent. In embodiments, the conduit is reflective. In  
embodiments, the conduit is refractive. In embodiments, the conduit is a transparent  
elastic material, such as elastomeric vinyl acetate. In embodiments the conduit is made  
from a material selected from the group consisting of a polymer, polyurethane, PVC  
material, rubber, plastic, a metal, and an alloy. In embodiment the conduit is made from  
10   a hybrid of a plurality of materials.

In embodiments the conduit is filled with a fluid, which may be a gas, a liquid, or  
a vapor. In embodiments the fluid transmits light or refracts light. In embodiments the  
fluid contains particles that reflect or refract light.

15

Methods and systems disclosed herein include lighting systems, which include a  
light engine having a controller and a light source and an elastomeric conduit for  
receiving light from the light engine. In embodiments the light source is configured in a  
linear configuration, array, or curvilinear configuration. In embodiments, the light  
20   source is configured with groupings of LEDs, wherein each member of the grouping is  
an LED producing light of a different wavelength. In embodiments, the groupings triads,  
quadruplets, quintuplets, quintuplets or sextuplets. In embodiments the LEDs are  
configured to fit a lighting fixture. In embodiments the lighting fixture is configured to  
resemble at least one of an incandescent fixture, a halogen fixture and a fluorescent  
25   fixture.

In embodiments the lighting systems further include an interface for delivering a  
control signal to the controller. The interface may be a wire, a cable, a network, a bus, a  
circuit, or a wireless interface. The interface may be a power-cycle-based interface, a  
30   general purpose computer interface, a keyboard, a mouse, a voice- or image-recognition

interface, a programming interface, a software authoring tool interface, a light show player interface, a touchpad interface, a wireless interface, an interface for a conventional lighting system, an entertainment system interface, a communications system interface, a maintenance system interface, and/or a navigation system interface.

5

The methods and systems may further include a surface in proximity to the light engine, wherein the conduit is attached to the surface by an attachment facility, such as a fastener, a screw, a clip and a bolt. The attachment facility may be a standoff mechanism for holding the conduit a fixed distance from the surface. The surface may be a surface of a sign. The methods and system may include a mounting facility for the conduit, which may serve as a light shield. In embodiments the mounting facility rests on a light pipe. In embodiments the light pipe collects light and delivers the light into the conduit. In embodiments the light engine is configured to resemble a halogen lamp. In embodiments the light engine is an MR-16 fixture. In embodiments the fixture is suitable for insertion into a conventional halogen socket. In embodiments a light pipe guides light into a receiving portion of the conduit, so that the conduit glows with the color of the light from the light engine. In embodiments the light engine includes LEDs selected from red, green, blue, amber, white, orange, and UV LEDs.

20 In embodiments the system may include a control system for the light engine. The control system may have a user interface, a data facility, a communication facility, and/or an algorithm facility. In embodiments the communication facility may include a network. In embodiments the communication facility comprises a wireless facility. In embodiments the control system is a general purpose computer. In embodiments the control system is integrated with other system elements of the environment of the light engine. In embodiments the other system elements are selected from the group consisting of a maintenance system, an entertainment system, a sound system, a navigation system, and a security system.

25

In embodiments the light engine includes a processor, such as a microprocessor, a microcontroller, circuit, an application specific integrated circuit, a microchip, a chip residing on a circuit board, a chipset, a circuit board, a programmable digital signal processor, a biological circuit, a programmable gate array, a programmable array logic device, a programmable logic device, a digital signal processor, an analog-to-digital converter, and a digital-to-analog converter, discrete circuitry, passive analog components, active analog components, a resistor, a capacitor, an inductor, a transistor, an operational amplifiers, a discrete digital component, a shift register, and/or a latch.

In embodiments the system includes memory for storing program instructions for the light engine, such as a read-only memory, a programmable read-only memory, an electronically erasable programmable read-only memory, a random access memory, a dynamic random access memory, a double data rate random access memory, a Rambus direct random access memory, and/or a flash memory.

In embodiments the data facility is at least one of a general purpose computer system, a RAM, a ROM, a hard disk memory, a diskette, a zip drive, a jump drive, a database, a SQL database, a TCL database, an Oracle database, an Access database, a data facility of an entertainment system, a data facility of a maintenance system, a data facility of a safety system and/or a combination of more than one type of data facility.

In embodiments data for the light engine resides in more than one system. In embodiments the first system is a safety system and the second system is an entertainment system. In embodiments the first system is a lighting control system and the second system is an entertainment system. In embodiments the communications facility includes at least a wire-based facility, a wireless facility, a network, an interface card, a circuit, a router, a switch, a software interface, a wire, a cable, a connector, an RF facility, an IR facility, a serial port, a parallel port, a USB facility, a firewire facility, a copper wire, a modem, a Bluetooth facility, an 802.11 facility, a DSL modems, an antenna, a satellite communications facility, and/or a telecommunications facility.

In embodiments the control system is connected to the lights by a bus that provides two-way communication between the control system and the light engines. In embodiments the light engines are addressable light engines. In embodiments the conduit comprises sub-elements that can be fitted together to form shapes. In  
5       embodiments the sub-elements are selected from the group consisting of V-shaped elements, L-shaped elements, T-shaped elements, curved elements, and straight elements. In embodiments the sub-elements are fitted together in combinations. In embodiments the sub-elements are provided in a kit with at least one light engine. In  
10       embodiments the user can shape the elements into a desired shape. In embodiments the system includes a conduit with a plurality of light engines. In embodiments the light engines are provided with a communications facility. In embodiments the light engines are configured so as to light the conduit in synchronous fashion. In embodiments the light engines change colors in concert. In embodiments the light engines create a color-  
15       chasing rainbow effect. In embodiments the light engines communicate via a flexible facility selected from the group consisting of a flexible wire, a bus, and a cable. In embodiments the wire serves as a semi-rigid element for holding the flexible conduit in a selected configuration. In embodiments a semi-rigid element is made of a material selected from the group consisting of a metal, a polymer, and a plastic. In embodiments  
20       the semi-rigid element holds shape when bent.

In embodiments the methods and systems disclosed herein include a light engine that has an optical receiver facility for receiving optical data and an optical modulator facility for modulating a portion of the emitted light, so that the light engine  
25       communicates to another light engine. In embodiments the light engine communicates using transmitted light. In embodiments the light engine communicates using a portion of the electromagnetic spectrum. In embodiments the light engine communicates ongoing data to continually update a second light engine. In embodiments the light engine sends instructions to a second light engine to execute a stored lighting program.  
30       In embodiments the instructions relate to clock data, so that various light engines can

coordinate with clock time to run stored lighting programs in coordination with each other. In embodiments the conduit is configured to form a sign with lettering. In embodiments the light engines are disposed throughout the sign to generate light to illuminate at least portions of the conduit. In embodiments the sign is configured as a  
5 logo. In embodiments the sign is configured to resemble a conventional neon sign.

Methods and systems disclosed herein include lighting systems with a first element lit by color-changing illumination from a light engine having a controller and at least one LED and a second element lit by color-changing illumination from a light  
10 engine having a controller and at least one LED, wherein the first element is placed in viewing proximity to the second element to produce illumination effects that represent the combination of the two elements. In embodiments the first element is controlled to illuminate in colors that are the same as those of the second element. In embodiments the first element is controlled to illuminate in colors that are complementary to those of  
15 the second element. In embodiments the colors of the two elements are generated in complementary color pairs. In embodiments the color pairs are selected from the group consisting of a red/green pair, a blue/orange pair, and a yellow/purple pair. In embodiments the first element comprises a conduit and a second element comprises a lit background. In embodiments the lit background is edge-lit by a linear array of light  
20 engines. In embodiments the conduit is a sign, and the sign is lit in coordination with the background.

Methods and systems are disclosed herein for providing a light system, including providing a substantially linear light engine, providing a housing for the light engine,  
25 disposing a substantially cylindrical optical facility on the housing in parallel with a longitudinal axis of the housing and illuminating the optical facility by disposing the light engine at an edge of the optical facility. In embodiments the cylindrical optical facility is constructed of a translucent material.



It should be appreciated that all combinations of the foregoing concepts and additional concepts discussed in greater detail below are contemplated as being part of the inventive subject matter disclosed herein. In particular, all combinations of claimed subject matter appearing at the end of this disclosure are contemplated as being part of  
5 the inventive subject matter disclosed herein.

As used herein for purposes of the present disclosure, the term “LED” should be understood to include any electroluminescent diode or other type of carrier injection / junction-based system that is capable of generating radiation in response to an electric  
10 signal. Thus, the term LED includes, but is not limited to, various semiconductor-based structures that emit light in response to current, light emitting polymers, electroluminescent strips, and the like.

In particular, the term LED refers to light emitting diodes of all types (including  
15 semi-conductor and organic light emitting diodes) that may be configured to generate radiation in one or more of the infrared spectrum, ultraviolet spectrum, and various portions of the visible spectrum (generally including radiation wavelengths from approximately 400 nanometers to approximately 700 nanometers). Some examples of LEDs include, but are not limited to, various types of infrared LEDs, ultraviolet LEDs,  
20 red LEDs, blue LEDs, green LEDs, yellow LEDs, amber LEDs, orange LEDs, and white LEDs (discussed further below). It also should be appreciated that LEDs may be configured to generate radiation having various bandwidths for a given spectrum (e.g., narrow bandwidth, broad bandwidth).

For example, one implementation of an LED configured to generate essentially  
25 white light (e.g., a white LED) may include a number of dies which respectively emit different spectra of electroluminescence that, in combination, mix to form essentially white light. In another implementation, a white light LED may be associated with a phosphor material that converts electroluminescence having a first spectrum to a  
30 different second spectrum. In one example of this implementation, electroluminescence

having a relatively short wavelength and narrow bandwidth spectrum “pumps” the phosphor material, which in turn radiates longer wavelength radiation having a somewhat broader spectrum.

5           It should also be understood that the term LED does not limit the physical and/or electrical package type of an LED. For example, as discussed above, an LED may refer to a single light emitting device having multiple dies that are configured to respectively emit different spectra of radiation (e.g., that may or may not be individually controllable). Also, an LED may be associated with a phosphor that is considered as an  
10   integral part of the LED (e.g., some types of white LEDs). In general, the term LED may refer to packaged LEDs, non-packaged LEDs, surface mount LEDs, chip-on-board LEDs, T-package mount LEDs, radial package LEDs, power package LEDs, LEDs including some type of encasement and/or optical element (e.g., a diffusing lens), etc.

15           The term “light source” should be understood to refer to any one or more of a variety of radiation sources, including, but not limited to, LED-based sources (including one or more LEDs as defined above), incandescent sources (e.g., filament lamps, halogen lamps), fluorescent sources, phosphorescent sources, high-intensity discharge sources (e.g., sodium vapor, mercury vapor, and metal halide lamps), lasers, other types of  
20   electroluminescent sources, pyro-luminescent sources (e.g., flames), candle-luminescent sources (e.g., gas mantles, carbon arc radiation sources), photo-luminescent sources (e.g., gaseous discharge sources), cathode luminescent sources using electronic saturation, galvano-luminescent sources, crystallo-luminescent sources, kine-luminescent sources, thermo-luminescent sources, triboluminescent sources, sonoluminescent sources,  
25   radioluminescent sources, and luminescent polymers.

          A given light source may be configured to generate electromagnetic radiation within the visible spectrum, outside the visible spectrum, or a combination of both. Hence, the terms “light” and “radiation” are used interchangeably herein. Additionally, a  
30   light source may include as an integral component one or more filters (e.g., color filters),

lenses, or other optical components. Also, it should be understood that light sources may be configured for a variety of applications, including, but not limited to, indication and/or illumination. An “illumination source” is a light source that is particularly configured to generate radiation having a sufficient intensity to effectively illuminate an interior or exterior space.

The term “spectrum” should be understood to refer to any one or more frequencies (or wavelengths) of radiation produced by one or more light sources. Accordingly, the term “spectrum” refers to frequencies (or wavelengths) not only in the visible range, but also frequencies (or wavelengths) in the infrared, ultraviolet, and other areas of the overall electromagnetic spectrum. Also, a given spectrum may have a relatively narrow bandwidth (essentially few frequency or wavelength components) or a relatively wide bandwidth (several frequency or wavelength components having various relative strengths). It should also be appreciated that a given spectrum may be the result of a mixing of two or more other spectra (e.g., mixing radiation respectively emitted from multiple light sources).

For purposes of this disclosure, the term “color” is used interchangeably with the term “spectrum.” However, the term “color” generally is used to refer primarily to a property of radiation that is perceivable by an observer (although this usage is not intended to limit the scope of this term). Accordingly, the terms “different colors” implicitly refer to multiple spectra having different wavelength components and/or bandwidths. It also should be appreciated that the term “color” may be used in connection with both white and non-white light.

The term “color temperature” generally is used herein in connection with white light, although this usage is not intended to limit the scope of this term. Color temperature essentially refers to a particular color content or shade (e.g., reddish, bluish) of white light. The color temperature of a given radiation sample conventionally is characterized according to the temperature in degrees Kelvin (K) of a black body radiator

that radiates essentially the same spectrum as the radiation sample in question. The color temperature of white light generally falls within a range of from approximately 700 degrees K (generally considered the first visible to the human eye) to over 10,000 degrees K.

5

Lower color temperatures generally indicate white light having a more significant red component or a “warmer feel,” while higher color temperatures generally indicate white light having a more significant blue component or a “cooler feel.” By way of example, fire has a color temperature of approximately 1,800 degrees K, a conventional incandescent bulb has a color temperature of approximately 2848 degrees K, early morning daylight has a color temperature of approximately 3,000 degrees K, and overcast midday skies have a color temperature of approximately 10,000 degrees K. A color image viewed under white light having a color temperature of approximately 3,000 degree K has a relatively reddish tone, whereas the same color image viewed under white light having a color temperature of approximately 10,000 degrees K has a relatively bluish tone.

The terms “light,” “lighting unit” and “lighting fixture” are used interchangeably herein to refer to an apparatus including one or more light sources of same or different types. A given lighting unit may have any one of a variety of mounting arrangements for the light source(s), enclosure/housing arrangements and shapes, and/or electrical and mechanical connection configurations. Additionally, a given lighting unit optionally may be associated with (e.g., include, be coupled to and/or packaged together with) various other components (e.g., control circuitry) relating to the operation of the light source(s). An “LED-based lighting unit” refers to a lighting unit that includes one or more LED-based light sources as discussed above, alone or in combination with other non LED-based light sources.

Unless otherwise indicated in a specific passage of this disclosure, the terms “processor” or “controller” are used herein interchangeably to describe various apparatus

30

relating to the operation of one or more light sources. A processor or controller can be implemented in numerous ways, such as with dedicated hardware, using one or more microprocessors that are programmed using software (e.g., microcode) to perform the various functions discussed herein, or as a combination of dedicated hardware to perform  
5 some functions and programmed microprocessors and associated circuitry to perform other functions.

In various implementations, a processor or controller may be associated with one or more storage media (generically referred to herein as “memory,” e.g., volatile and  
10 non-volatile computer memory such as RAM, PROM, EPROM, and EEPROM, floppy disks, compact disks, optical disks, magnetic tape, etc.). In some implementations, the storage media may be encoded with one or more programs that, when executed on one or more processors and/or controllers, perform at least some of the functions discussed herein. Various storage media may be fixed within a processor or controller or may be  
15 transportable, such that the one or more programs stored thereon can be loaded into a processor or controller so as to implement various aspects of the present invention discussed herein. The terms “program” or “computer program” are used herein in a generic sense to refer to any type of computer code (e.g., software or microcode) that can be employed to program one or more processors or controllers.

20 The term “addressable” is used herein to refer to a device (e.g., a light source in general, a lighting unit or fixture, a controller or processor associated with one or more light sources or lighting units, other non-lighting related devices, etc.) that is configured to receive information (e.g., data) intended for multiple devices, including itself, and to  
25 selectively respond to particular information intended for it. The term “addressable” often is used in connection with a networked environment (or a “network,” discussed further below), in which multiple devices are coupled together via some communications medium or media.

30 In one network implementation, one or more devices coupled to a network may serve as a controller for one or more other devices coupled to the network (e.g., in a

master / slave relationship). In another implementation, a networked environment may include one or more dedicated controllers that are configured to control one or more of the devices coupled to the network. Generally, multiple devices coupled to the network each may have access to data that is present on the communications medium or media;  
5 however, a given device may be “addressable” in that it is configured to selectively exchange data with (i.e., receive data from and/or transmit data to) the network, based, for example, on one or more particular identifiers (e.g., “addresses”) assigned to it.

The term “network” as used herein refers to any interconnection of two or more  
10 devices (including controllers or processors) that facilitates the transport of information (e.g. for device control, data storage, data exchange, etc.) between any two or more devices and/or among multiple devices coupled to the network. As should be readily appreciated, various implementations of networks suitable for interconnecting multiple devices may include any of a variety of network topologies and employ any of a variety  
15 of communication protocols. Additionally, in various networks according to the present invention, any one connection between two devices may represent a dedicated connection between the two systems, or alternatively a non-dedicated connection. In addition to carrying information intended for the two devices, such a non-dedicated connection may carry information not necessarily intended for either of the two devices  
20 (e.g., an open network connection). Furthermore, it should be readily appreciated that various networks of devices as discussed herein may employ one or more wireless, wire/cable, and/or fiber optic links to facilitate information transport throughout the network.

25 The term “user interface” as used herein refers to an interface between a human user or operator and one or more devices that enables communication between the user and the device(s). Examples of user interfaces that may be employed in various implementations of the present invention include, but are not limited to, switches, potentiometers, buttons, dials, sliders, a mouse, keyboard, keypad, various types of game  
30 controllers (e.g., joysticks), track balls, display screens, various types of graphical user

interfaces (GUIs), touch screens, microphones and other types of sensors that may receive some form of human-generated stimulus and generate a signal in response thereto.

5           The following patents and patent applications are hereby incorporated herein by reference:

          U.S. Patent No. 6,016,038, issued January 18, 2000, entitled "Multicolored LED Lighting Method and Apparatus;"

          U.S. Patent No. 6,211,626, issued April 3, 2001 to Lys et al, entitled  
10 "Illumination Components,"

          U.S. Patent No. 6,608,453, issued August 19, 2003, entitled "Methods and Apparatus for Controlling Devices in a Networked Lighting System;"

          U.S. Patent No. 6,548,967, issued April 15, 2003, entitled "Universal Lighting Network Methods and Systems;"

15           U.S. Patent Application Serial No. 09/886,958, filed June 21, 2001, entitled "Method and Apparatus for Controlling a Lighting System in Response to an Audio Input;"

          U.S. Patent Application Serial No. 10/078,221, filed February 19, 2002, entitled "Systems and Methods for Programming Illumination Devices;"

20           U.S. Patent Application Serial No. 09/344,699, filed June 25, 1999, entitled "Method for Software Driven Generation of Multiple Simultaneous High Speed Pulse Width Modulated Signals;"

          U.S. Patent Application Serial No. 09/805,368, filed March 13, 2001, entitled "Light-Emitting Diode Based Products;"

25           U.S. Patent Application Serial No. 09/716,819, filed November 20, 2000, entitled "Systems and Methods for Generating and Modulating Illumination Conditions;"

          U.S. Patent Application Serial No. 09/675,419, filed September 29, 2000, entitled "Systems and Methods for Calibrating Light Output by Light-Emitting Diodes;"

30           U.S. Patent Application Serial No. 09/870,418, filed May 30, 2001, entitled "A Method and Apparatus for Authoring and Playing Back Lighting Sequences;"

U.S. Patent Application Serial No. 10/045,629, filed October 25, 2001, entitled  
“Methods and Apparatus for Controlling Illumination;”

U.S. Patent Application Serial No. 10/158,579, filed May 30, 2002, entitled  
“Methods and Apparatus for Controlling Devices in a Networked Lighting System;”

5 U.S. Patent Application Serial No. 10/163,085, filed June 5, 2002, entitled  
“Systems and Methods for Controlling Programmable Lighting Systems;”

U.S. Patent Application Serial No. 10/325,635, filed December 19, 2002, entitled  
“Controlled Lighting Methods and Apparatus;” and

10 U.S. Patent Application Serial No. 10/360,594, filed February 6, 2003, entitled  
“Controlled Lighting Methods and Apparatus.”

#### **Brief Description of the Drawings**

Fig. 1 depicts a light system with a modular conduit for receiving illumination  
from a light.

15 Fig. 2 depicts various configurations of lights suitable for generating light in  
accordance with the present invention.

Fig. 3 depicts embodiments of light systems using an elastomeric light conduit.

Fig. 4 depicts a system for controlling lights.

20 Fig. 5 depicts various configurations of elements that comprise conduits in  
embodiments of the invention.

Fig. 6 depicts light engine elements disposed in a conduit.

Fig. 7 depicts an embodiment of a light system with a shaped conduit.

Fig. 8 depicts a light system with a conduit configured to form a sign with  
lettering.

25 Fig. 9 depicts an interior environment with a sign formed of a light system with a  
shaped conduit.

Fig. 10 depicts an exterior environment with a sign formed of a light system with  
a shaped conduit.

30 Fig. 11 depicts a light system with multiple elements, each of which is controlled  
by a control system.



Fig. 12 depicts a light system with multiple elements, including elements formed of a conduit and elements formed of a lit background.

Fig. 13 depicts a light system with a linear light source that edge-lights a substantially linear conduit.

#### **Detailed Description**

Fig. 1 depicts a light system 100 with a modular conduit 102 for receiving illumination from a light 104. The light 104 is controlled by a controller 108 to produce light of a desired color, intensity, saturation, color temperature or other characteristic at each point in time. The controller 108 and light 104 comprise a light engine 110. A light 104 coupled with a controller 108 and some kind of interface 210 (which may include a user interface) can be described as a light engine 110, light module, light system or the like. The term light engine 110 thus can describe a light under control of a controller 108, as well as other configurations for generating light. Light engines 110 can also be of greater complexity, as described in connection with Fig. 4.

In embodiments, the lights 104 are LEDs of different colors (which may include different color temperatures of white LEDs), which can, under control of the controller 108, produce light as desired. In the methods and systems disclosed herein, the lights 104 can provide illumination of colors other than white, as well as providing white illumination. Thus, a light 104 can, under processor- or computer-control, provide controlled illumination and display of light in any color, at any color temperature, at any time, as programmed by the operator of the light system.

For example, the lights 104 can operate in a white color mode at some times and in a non-white color mode at other times. In fact, the system 100 can, with the proper configuration of light sources and control elements, provide any selected color at any desired time. The methods and systems taught herein may be used in a number of environments. By using computer-controlled light sources, the operator can thus provide illumination characteristics in an environment that cannot be provided with conventional systems.

Selection of the proper light sources can be helpful to maximize the effectiveness of a computer-based lighting system in an environment. For example, environments can benefit from both white light and non-white light, such as for mood lighting,  
5 entertainment, presentation of colors for purposes of branding, and the like. Such effects may also include color temperature control, such as control based on time of day or other factors.

In embodiments it is thus desirable to include one or more white light sources,  
10 such as white LEDs of the same or different color temperature, as well as non-white sources. For example, white light can be generated by a combination of red, green (or yellow) and blue light sources, or by a white light source. The color temperature of white light can be modified by mixing light from a second light source. The second light source can be a light source such as a white source of a different color temperature, an  
15 amber source, a green source, a red source, a yellow source, an orange source, a blue source, or a UV source. In embodiments, the lights can be LEDs of red, green, blue and white colors. In other embodiments LEDs of white, amber, red, green and blue can be mixed to provide a wide range of available colors and color temperatures. More generally, the lights can be any LEDs of any color, or combination of colors, such as  
20 LEDs selected from the group consisting of red, green, blue, UV, yellow, amber, orange and white. White LEDs can include LEDs of more than one color temperature or other operating characteristic. Thus, the light systems preferably comprise light sources of different colors, so that colors other than white, and different color temperatures of white, can be produced on demand.

25

The controller 108 can be any control system, as more particularly described below, such as a microprocessor, microcontroller, or the like. More complex control systems can be used to provide the functions of the controller 108, as described in connection with Fig. 4 below.

30

The conduit 102 receives light from the light engine 110, such as white or colored light, or light that changes characteristics. The conduit 102 can be made of any material suitable for receiving light, such as any elastic material. The conduit 102 can be transparent, semi-opaque, translucent, reflective, or have other light transmitting, 5 refracting, diffracting, or reflecting characteristics. In embodiments the conduit 102 is made of a translucent or transparent elastic material, such as elastomeric vinyl acetate (EVA). The conduit 102 could be made of a polymer, polyurethane, PVC material, rubber, plastic, a metal, an alloy, or other material capable of being bent and capable of receiving light. It could be a hybrid of any of the foregoing, such as a flexible tube that 10 is part metal and part clear or translucent elastic material, such as EVA. The conduit 102 can be filled with a fluid, liquid, gas, or similar matter suitable for reflecting, transmitting, or refracting light.

The conduit 102 can thus be shaped into any shape, and can receive light from 15 the light engine 110.

Fig. 2 depicts various configurations of lights suitable for generating light in accordance with the present invention. Light engines 110 can include lights 104 of many configurations, in an unlimited number of shapes and sizes. Examples include linear 20 arrays 202, with LEDs of different colors in a line (including curvilinear arrays), as well as groupings 204 of LEDs in triads, quadruple groups, quintuple groups, etc. LEDs can be disposed in round fixtures 208, or in various otherwise shaped fixtures, including those that match fixture shapes for incandescent, halogen, fluorescent, or other fixtures. Due to small size and favorable thermal characteristics, LED-based light sources offer 25 flexibility in fixture geometry. In each case, the lights can have an interface 210, such as a wire, cable, network, bus, circuit, wireless interface or other interface, such as to a controller 108.

Fig. 3 depicts embodiments of light systems using an elastomeric light conduit 30 102. The conduit 102 may have an end portion 302 configured to receive a light engine

110. The conduit 102 may be made capable of being attached to a surface by an attachment facility 304, such as a fastener, screw, bolt or the like. The attachment facility 304 could also be a standoff mechanism 308 for holding the conduit 102 a fixed distance from a base 310, such as the base of a sign.

5

In embodiments, the light engine 110 may include an optional mounting facility 312, which may also serve as a light shield. The mounting facility 312 may rest on an optional light pipe 314 or collector, which may be a suitable known facility for collecting light and delivering it into the conduit 102. In embodiments, the light engine 110 may consist of a light fixture with lights and a controller, such as a CK MR-16 fixture 318 from Color Kinetics, a fixture that is suitable for insertion into a conventional halogen socket.

The light from the fixture 318 is guided by the light pipe 314 into the receiving end portion 302 of the conduit 102, resulting in illumination of the conduit 102. Thus, the conduit 102 glows with the color of the light from the fixture 318, which is selected by any user interface (described below).

Referring to Fig. 4, the light engine 110 of Fig. 1 can consist of a very simple system with a microprocessor and a group of lights 104, or it can consist of a more complex system. Fig. 4 depicts a more generalized system for controlling lights with a much wider range of optional system elements. Such a system, or a portion thereof, should be understood to be encompassed by the light engines 110 mentioned throughout.

Referring to Fig. 4, a schematic diagram 400 depicts high-level system elements for a computer-controlled lighting system. These include a plurality of lights 402, which may include light sources such as those described in connection with Figs. 1 and 2 above, such as LED-based lights or light fixtures, such as red, green, blue, amber, white, orange, UV, or other LEDs, disposed in any configuration. The lights 402 may be under the control of a control system 408. The control system 408 may include various system

elements, such as a processor 414, as well as other control system elements, such as a user interface 418, a data facility 420, a communications facility 422 and an algorithm facility 424. It should be understood that these elements, while provided in many preferred embodiments, are optional in other embodiments. Also, it should be

5 understood that Fig. 4 is a functional diagram, and that the control system 408, while presented as a single, integrated system, such as a self-contained light engine 110, could comprise disparate system elements, including elements residing in other locations or on other devices. For example, the data facility 420 might comprise memory resident on a general purpose computer with the processor 414, but it might also comprise a database

10 located entirely outside the system, such as a control system for a building.

In one preferred embodiment the control system 408 is a general purpose computer, such as a PC, laptop computer or handheld computer.

15 The processor 414 may be any processor, such as PIC processor offered by Microchip Corp., a general purpose computer processor, such as a Pentium-based processor, or other processor or processing element. In embodiments the control system may be integrated with other system elements of the environment, so that lighting control for the lights 402 is provided on the processor of another system of the environment,

20 such as a maintenance system, entertainment system, sound system, navigation system, security system, or the like.

In embodiments, the processor 414 may refer to any system for processing electrical, analog or digital signals. A processor may include a microprocessor,

25 microcontroller, circuit, application specific integrated circuit, chip, chipset, programmable digital signal processor, biological circuit or other programmable device, along with memory such as read-only memory, programmable read-only memory, electronically erasable programmable read-only memory, random access memory, dynamic random access memory, double data rate random access memory, Rambus

30 direct random access memory, flash memory, or any other volatile or non-volatile

memory for storing program instructions, program data, and program output or other intermediate or final results. A processor may also, or instead, include an application specific integrated circuit, a programmable gate array, programmable array logic, a programmable logic device, a digital signal processor, an analog-to-digital converter, a digital-to-analog converter, or any other device that may be configured to process signals. In addition, a processor may include discrete circuitry such as passive or active analog components including resistors, capacitors, inductors, transistors, operational amplifiers, and so forth, as well as discrete digital components such as logic components, shift registers, latches, or any other separately packaged chip or other component for realizing a digital function. Any combination of the above circuits and components, whether packaged discretely, as a chip, as a chipset, or as a die, may be suitably adapted to use as a processor as described herein. It will further be appreciated that the term processor may apply to an integrated system, such as a personal computer, network server, or other system that may operate autonomously or in response to commands to process electronic signals such as those described herein. Where a processor includes a programmable device such as the microprocessor or microcontroller mentioned above, the processor may further include computer-executable code that controls operation of the programmable device.

The user interface 418 may be any user interface suitable for allowing an operator to control a light system, such as a power-cycle-based interface, a general purpose computer interface, a keyboard, a mouse, a voice- or image-recognition interface, a programming interface, a software authoring tool interface, a light show player interface, a touchpad interface, a wireless interface, or other interface suitable for entering computer control commands. In embodiments the interface may be an interface for another system of the environment, such as the interface to a conventional lighting system, an entertainment system interface, a communications system interface, a maintenance system interface, a navigation system interface, or other interface.

The data facility 420 is an optional system element. The data facility could be memory resident on a general purpose computer system 408, including RAM, ROM, hard disk memory, diskette, zip drive, or the like, or it could comprise a database, such as a SQL, TCL, Oracle, Access, or other database. It could comprise a data facility of  
5 another computer system, such as an entertainment system, maintenance system, safety system, or the like. In embodiments, it could comprise some or all of the above. Thus, data for lighting control could reside both in the safety system (to store safety-related lighting signals) and the entertainment system (to provide control signals for light shows) and in the general lighting system control (for general illumination). Stored control  
10 signals allow a user to program the lighting system to produce any desired effect or any color, intensity and color temperature, at any predetermined time, on demand, at random, or other various other modes. For example, the data facility 420 can store signals to create a color-chasing rainbow up and down a linear array of lights, or a series of networked lights, or to provide desirable color temperatures. The data facility 420 can  
15 store signals that are complementary to the main lights, such as those related to lighting control of other elements, such as background display elements. The effects can include branding-related effects, such as those that use the signature colors of the operator of the system 100. The data facility 420 can include stored shows, such as those pre-programmed by an author and downloaded to the system, such as by the communications  
20 facility 422.

Many lighting effects may be generated through a system according to the principles of the present invention. The references incorporated by reference herein provide many examples of such lighting effects.

25

In embodiments the control system 408 may include a communications facility 422, which may facility communications either between light engines 110, between a light 104 and controller 108 or with other computer systems. The communications facility 422 may generally include any known communications facility, such as wire- and  
30 wireless-based communications facilities, networks, interface cards, circuits, routers,

switches, software interfaces, wires, cables, connectors, circuits, RF, IR, serial and parallel ports, USB facilities, firewire facilities, copper wires, modems, Bluetooth facilities, various DSL modems, antennae, satellite communications facilities, telecommunications or other communications facilities.

5

In one preferred embodiment the communication facility 422 is that of a general purpose computer, and the control system 408 is connected to the lights 402 by a bus 428 or similar facility, as well as a physical connector 404, which together with the bus 428 provides two-way communication between the control system 408 and the lights 402. In one preferred embodiment each connector 404 or certain connectors 404 are addressable, as more particularly described below. In embodiments the bus may be a RS 485 bus or similar facility.

In some embodiments the control system 408 may also include an interface 412 to another system 410 of the environment, such as the safety system, alarm system, maintenance system, entertainment system, navigation system, power system, engine system, or the like. Via the communications facility 422 the control system 408 is capable of two-way data communications with any other computer system that is configured to communicate with the control system 408.

20

The control system 408 may further include the algorithm facility 424, which is a general description of any of a group of available facilities for processing instructions and, for example, providing lighting control based on the instructions. For example, in embodiments where the control system 408 receives data from the light systems 402, the control system 408 could determine that a light 402 is about to fail (such as because the total "on" time for the light as calculated by the algorithm facility 424 is nearing the predicted lifetime of the light), and it could signal the maintenance system to have the light replaced. The algorithm facility 424 can thus operate on instructions received by the communications facility 422, data from the data facility 420, and preprogrammed instructions, to generate control signals, messages, and other output in any manner

30



desired by the user. For example, it can prioritize various lighting control signals based on various data, such as a hierarchy of systems or conditions that determine which control signal should actually be sent to the lights 402. Thus, an alarm signal would preempt an entertainment signal, and so on.

5

In general, it can be desirable to have addressability of light systems that are disposed in environments. By linking network addresses to physical locations, a light system operator can create light shows that are more effective than those that are created with random color effects, or ones in which the various lights systems are not well-  
10 coordinated. For example, a color-chasing rainbow effect can be easily programmed if the positions of the lights 402 are known, as well as their network addresses. Also, knowing individual addresses of lights 402 allows an operator to tailor light conditions to particular light. With addresses, it is possible to provide individual control of lights 402, rather than just general illumination of the entire environment.

15

On the control side, methods and systems are known for sending addressed light signals via a communications facility 422. Examples include the DMX protocol, and there are various other network protocols that can be used to address control signals to particular addresses in a network topology. In such systems, devices that have a given  
20 address extract control bits that relate to that address, so that a single control signal (comprised of signals for each of a range of addresses) effectively provides unique control signals for each of the addresses. Each light 402 thus “knows” its address and recognizes control signals that are addressed to it, while ignoring control signals that addressed to other lights 402.

25

A variety of methods and systems are known for setting addresses of light systems, such as the lights 402. Examples include dipswitches that are onboard the lights, various software interfaces, and the like. Methods and systems are also known for determining light locations, so that an array of lights with addresses can be stored in a  
30 table that relates the addresses to physical locations. Various addressing techniques are

discussed in detail in one or more of the U.S. patents or patent applications mentioned earlier herein.

One problem with conventional facilities for addressing light systems is that in  
5 some environments lights are used heavily and thus may be changed regularly. If the  
address system is onboard the light, it may be difficult to know or find out the address of  
the replacement light. Thus, getting a replacement light to work properly may require  
knowing the right address for a particular position and setting that address properly upon  
light replacement. Setting a dipswitch on a light, while feasible, might require a  
10 maintenance person to look up the address of the light in a lookup table, set the light to  
the right dipswitch positions, and then plug in the light. This could be time consuming  
and error prone.

One solution to this problem is a preferred embodiment of the methods and  
15 systems disclosed herein. Referring to Fig. 3, in such a method and system the address  
facility is provided at the end of the conduit 302 that is proximate to the light engine 110,  
rather than on the lights 104 themselves. Thus, the conduit 102, which remains fixed in  
its initial position, often for the lifetime of the light system, can be associated with an  
address in a lookup table, allowing the author of an effect to direct control signals to the  
20 location of the connector. Thus, a light engine 110, designed to fit with the conduit 102,  
can receive control signals that are addressed to it, based on the facility of the conduit  
102 to extract from the general control signal (such as of a bus or similar  
communications facility) the particular control data that is addressed to that particular  
connector (and in turn to any light system that is connected to that connector). With the  
25 address facility in the conduit 102, rather than the light engine 110, maintenance can  
consist only of plugging and unplugging any arbitrary light fixture that has the capability  
of responding to the control signal, without needing to take additional steps to address  
that fixture at the time it is put in place.

Systems and methods according to the principles of the present invention may be modular or have modular components. At least some of the U.S. patents and patent applications referenced earlier herein provide examples of such modular systems and components.

5

In embodiments, the conduit 102 provides a two-way data interface between the light engine 110 and a controller 108, such as the control system 408. In embodiments, the control system 408 can communicate data with the light engines 110 or lights 402, such as control data, temperature data, performance data, performance history data, light  
10 histogram data, intensity data, color temperature data, on-off status data, color data, time data, total-on-time data, light show data, lighting effect data, alarm data, maintenance data, power-usage data, system status data, customer-entered data, advertising data, branding data, communications data.

15

In one embodiment the control system 408 may interface with a backup power system, which provides power to the light engines 110, but which may also signal the lights to operate in a certain mode, such as an emergency mode.

20

In embodiments, the light systems may work in connection with a secondary system for operating on the light output of the light system, such as an optic, a phosphor, a lens, a filter, fresnel lens, a mirror, and a reflective coating.

25

Using the two-way communication facility of the conduit 102, the control system 408 can control the light engines 110 in response to a wide range of inputs, whether programmed by the user, provided by other computer systems 412, provided from  
sensors, or provided from the light engines 110.

30

Fig. 5 depicts various configurations of elements that comprise conduits 102 in embodiments of the invention. In particular a conduit 102 can consist of sub-elements 500, which can be fitted together to form different shapes. Sub-elements 500 can include

V-shaped elements 502, L-shaped elements 504, T-shaped elements 508, curved elements 510, straight elements, or other more complex configurations, including ones made up of all possible combinations of the above. In each case a light engine 110, whether simple system such as in Fig. 1 or a more complex system such as described in connection with Fig. 4, can light the element 500 of the conduit 102 in a color selected by an operator of the system. The various elements 500 can be included in a kit that is provided to a user to allow the user to shape the elements 500 into a desired overall shape, such as for a sign.

Referring to Fig. 6, a system 600 consists of a conduit 102 with multiple light engines 110. The light engines 110 can be provided with a communications facility, so that they can receive control signals to provide illumination as desired. The light engines 110 can be configured so as to light the conduit 102 in synchronous fashion or to change colors in concert, such as to create a color-chasing rainbow effect. The light engines 110 can communicate among each other using various protocols, such as pulling addressed data from a flexible wire 602, bus, cable or similar facility, or by communicating directly with each other, such as through a transceiver facility in each light engine 110. The wire 602 may provide power or data, or it may simply serve as a semi-rigid element for holding the flexible conduit 102 in a selected configuration. Thus, it may be desirable to include a semi-rigid element 602 in a kit for allowing the user to make a sign or similar display made up of conduit elements 500 in any given shape. The semi-rigid element may be metal, plastic or other bendable element, preferably one that can hold shape to some degree when bent.

In embodiments the light engines 110 include an optical receiver facility for receiving optical data and an optical modulator facility for modulating a portion of the emitted light, so that the light engines 110 communicate with each other using the transmitted light (or a portion of the visible, IR, UV or other portion of the EM spectrum). Thus, by communicating with each other, the light engines 110 can be

disposed throughout a conduit 102, so that the entire conduit 102, in any shape, can be lit with a consistent level of illumination.

In embodiments, a light engine 110 can communicate ongoing data to continually  
5 update a second light engine 110, or it can send information to the second light engine 110 about what program to run among various programs stored on it. In embodiments, the data might simply be clock data, allowing each of various light engines 110 to coordinate with clock time to run programs in prearranged sequences.

10 Fig. 7 depicts an embodiment of a light system 700 with a shaped conduit 102. The conduit 102 (like others described throughout) can include an internal material, such as a fluid, liquid, gas, reflective coating, or the like, so that light from a light engine 110 is reflected or refracted inside the conduit 102 to provide diffuse illumination of the conduit 102. The light engines 110 can communicate with each other as described in  
15 connection with Fig. 6 or each one can interface 702 with the control system 108, giving the user complete control of each light engine 110 to produce whatever effect the user desires when illuminating the conduit 102.

Fig. 8 depicts a light system with a conduit 102 configured to form a sign 800  
20 with lettering. Various light engines 110 disposed throughout generate light to illuminate portions of the conduit 102 or the entire conduit 102. The light engines 110 can be controlled by a controller 108 or control system 408 (not shown). By configuring a flexible conduit (or joining conduit elements 500) a user can thus create a sign 800, logo or other display or object that glows with colored light selected by the user,  
25 including a uniform color, different colors, moving colors, or colors that change with time. Any color (including white) can be provided at any time by controlling the light engines 110. A sign 800 can thus be provided which offers all of the capabilities of neon signs (attractive colored light shaped in a user-selected form) without the many drawbacks of neon (cost, inflexibility, risk of broken gas, leaking, and the like).

Fig. 9 depicts an interior environment with a sign formed of a light system 900 with a shaped conduit 102.

Fig. 10 depicts an exterior environment with a sign formed of a light system 1000 with a shaped conduit. Use of flexible plastics or similar materials makes light systems 1000 that include conduits 102 with light engines 110 very suitable for outdoor environments. By bending elements 500 of the conduit 102, the user can change the sign 1000. The elements 500 of the conduit 102 may include a semi-rigid element 602 for holding the elements in place, or the elements may be held in place by various fasteners, such as bolts, screws, plastic standoff facilities, or the like.

Fig. 11 depicts a light system 1100 with multiple elements, each of which is controlled by a control system. The light system 1100 includes a first element 1102, which consists of an object or surface lit by color-changing illumination, such as by a light engine 110 or system 400 described above, as well as a second element 1104, which is similarly controlled. In embodiments, the first element 1102 is placed in viewing proximity to the second element 1104 to produce illumination effects that represent the combination of the two elements 1102, 1104. For example, the element 1102 can be controlled to illuminate in colors that are the same as those of the element 1104, that are complementary to those of the element 1104, that contrast with the color of the element 1104, or that follow the colors of the element 1104 in time. In an embodiment, the colors of the two elements 1102 and 1104 are controlled to be generated in complementary color pairs, such as red/green, blue/orange, and yellow/purple. Many attractive effects can be created through coordinated layering of different color controlled illuminated objects, surfaces or the like. While Fig. 11 shows a two-element system, it should be understood that many-layered systems where the elements are in proximity to each other can be encompassed herein.

Fig. 12 depicts a light system 1200 with multiple elements, including elements formed of a conduit 102 and elements formed of a lit background 1202. The lit

background 1202 can be a back-lit display, or a translucent material that is edge-lit, such as by a linear array of lights 104. As with Fig. 11, the conduit 102 can be a sign, and the sign can be lit in coordination with the lighting of the display 1202.

5           Fig. 13 depicts a light system 1300 in which a light engine 110 in a substantially linear configuration 202 (which could be curvilinear and/or flexible in embodiments) lights the edge of an optical facility 1302. The optical facility 1302 may be a conduit 102, such as described above, or it may be a solid having optical transmission, reflection or refraction properties. For example, the optical facility 1302 may be a substantially  
10 translucent cylinder, which sits on top of a housing 1304 that holds the light engine 110 with the linear grouping 202 of light sources. The light system 1300 may include a control facility 108, which may control the light engine 110 to light the optical facility 1302 with illumination, including with any of the illumination effects described above in connection with various embodiments of the invention, such as color-changing  
15 illumination, color-temperature-controlled illumination, color chasing rainbows and the like. The light system 1300 may optionally include, for example, a data facility, a memory, a processor, an addressable controller, a communications facility, or any of the other systems and facilities described herein. In embodiments the edge-lit optical facility 1302 can be shaped into a sign, such as being shaped to resemble a neon sign.

20

          Having thus described several illustrative embodiments, it is to be appreciated that various alterations, modifications, and improvements will readily occur to those skilled in the art. Such alterations, modifications, and improvements are intended to be part of this disclosure, and are intended to be within the spirit and scope of this  
25 disclosure. While some examples presented herein involve specific combinations of functions or structural elements, it should be understood that those functions and elements may be combined in other ways according to the present invention to accomplish the same or different objectives. In particular, acts, elements, and features discussed in connection with one embodiment are not intended to be excluded from

C1104-7112.10  
704132.1

-32-

similar or other roles in other embodiments. Accordingly, the foregoing description and attached drawings are by way of example only, and are not intended to be limiting.